

09/26/01

11064 U.S.

Please type a plus sign (+) inside this box [ + ]

9-27-01

A

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. 899-00085	
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Inventor Neil A. Czarnecki	
		Title Generator Status Information Display For Power Transfer Switch	
		Express Mail Label No. EL812751539US	
APPLICATION ELEMENTS		Commissioner for Patents	
See MPEP chapter 600 concerning utility patent application contents		ADDRESS TO: Box Patent Application	
		Washington, D.C. 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
3. <input checked="" type="checkbox"/> Specification [Total Pages 16] (preferred arrangement set forth below) Descriptive title of the Invention Cross References to Related Applications Statement Regarding Fed sponsored R & D Reference to sequence listing, a table, or a computer program listing appendix Background of the Invention Brief Summary of the Invention Brief Description of the Drawings (if filed) Detailed Description Claim(s) Abstract of the Disclosure		a. <input type="checkbox"/> Computer Readable Form (CFR) b. Specification Sequent Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 4]		ACCOMPANYING APPLICATION PARTS	
5. Oath or Declaration [Total Pages 2] <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
6. <input type="checkbox"/> Applicant Data Sheet. See 37 CFR 1.76		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)	
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____ Prior application information: Examiner _____ Group/Art Unit: _____		11. <input type="checkbox"/> English Translation Document (if applicable)	
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under B 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.		12. <input checked="" type="checkbox"/> Information Disclosure <input checked="" type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations	
18. CORRESPONDENCE ADDRESS		13. <input type="checkbox"/> Preliminary Amendment	
<input checked="" type="checkbox"/> Customer Number of Bar Code Label		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
26753 PATENT TRADEMARK OFFICE		15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
Name William L. Falk, Reg. No. 27,709		16. <input checked="" type="checkbox"/> Other: Request And Certification Under 35 USC 122(b)(2)(B)(i)	
Address ANDRUS, SCEALES, STARKE & SAWALL, LLP Suite 1100, 100 East Wisconsin Avenue			
City Milwaukee State WI Zip Code 53202			
Country USA Telephone (414) 271-7590 Fax (414) 271-5770			
CERTIFICATE OF EXPRESS MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as EXPRESS MAIL - POST OFFICE TO ADDRESSEE, in an envelope addressed to Commissioner For Patents, Washington, DC 20231 this 26th day of September, 2001			
Name (Print or Type) Christine S. Lewis		Registration No. (Attorney/Agent)	
Signature Christine S. Lewis		Date September 26, 2001	

JC978 U.S. PTO 09/26/01

09/26/01

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 355.00)

**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Neil A. Czamecki
Examiner Name	
Group Art Unit	
Attorney Docket No.	899-00085

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	01.2000
Deposit Account Name	ANDRUS, SCEALES, STARKE & SAWALL, LLP

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code (\$)	Entity Fee Code (\$)	Small Fee Code (\$)	Fee Description	Fee Paid	
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	0
107	490	207	245	Plant filing fee	0
108	710	208	355	Reissue filing fee	0
114	150	214	75	Provisional filing fee	0

SUBTOTAL (1) (\$ 355.00)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
10	-20** = 0	X 0	0
2	-3** = 0	X 0	0
Multiple Dependent			

Large Fee Code (\$)	Entity Fee Code (\$)	Small Fee Code (\$)	Fee Description	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

\*\*or number previously paid, if greater, - For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

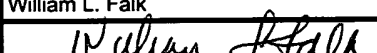
Large Fee Code (\$)	Entity Fee Code (\$)	Small Fee Code (\$)	Fee Description	Fee Paid	
105	130	205	65	Surcharge - late filing fee or oath	0
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	0
139	130	139	130	Non-English specification	0
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	0
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	0
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	0
115	110	215	55	Extension for reply within first month	0
116	390	216	195	Extension for reply within second month	0
117	890	217	445	Extension for reply within third month	0
118	1,390	218	695	Extension for reply within fourth month	0
128	1,890	228	945	Extension for reply within fifth month	0
119	310	219	155	Notice of Appeal	0
120	310	220	155	Filing a brief in support of an appeal	0
121	270	221	135	Request for oral hearing	0
138	1,510	138	1,510	Petition to institute a public use proceeding	0
140	110	240	55	Petition to revive - unavoidable	0
141	1,240	241	620	Petition to revive - unintentional	0
142	1,240	242	620	Utility issue fee (or reissue)	0
143	440	243	220	Design issue fee	0
144	600	244	300	Plant issue fee	0
122	130	122	130	Petitions to the Commissioner	0
123	50	123	50	Processing fee under 37 CFR 1.17(q)	0
126	180	126	180	Submission of Information Disclosure Stmt	0
581	40	581	40	Recording each patent assignment per property (times number of properties)	0
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(b))	0
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	0
179	710	279	355	Request for Continued Examination (RCE)	0
169	900	169	900	Request for expedited examination of a design application	0

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

**SUBMITTED BY**

Name (Print/Type)	William L. Falk	Registration No. (Attorney/Agent)	27,709	Telephone	(414) 271-7590
Signature				Date	September 26, 2001

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Neil A. Czarnecki
	Title	Generator Status Information Display For Power Transfer Switch
	Atty. Docket Number	899-00085

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 26, 2001

Date



Signature

William L. Falk

Registration No. 27,709

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(b)(iii)).**

09963935 092601